

## Synthesis and characterization of novel 5, 6 - benz 1,3 - oxazepine 4,7 - dione derivatives from semicarbazone

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### ABSTRACT

Drugs used for pharmacotherapy of psychopharmacological disorders have more importance now days. Among them the most important are oxazepine derivatives. Oxazepine are seven membered heterocyclic compounds which contribute various important activities. The present study involves the synthesis of series of eight number of 5,6 - Benz 1,3 - Oxazepine 4, 7 - Dione(AO.I-AOII) derivatives by cyclo addition reaction between schiff base (semicarbazone) and phthalic anhydride with dry benzene as the solvent. Schiff base is synthesized by the condensation reaction of semicarbazide hydrochloride with various aromatic aldehydes in the presence of sodium acetate. All the prepared compounds were characterized by its melting point, TLC, solubility in various solvents, and various physicochemical parameters are predicted using ACD/Chemsketch software. Chemical structure of all the synthesized were confirmed by spectrum obtained by FTIR and <sup>1</sup>HNMR spectroscopy and elementary (CHN) analysis.

**Keywords:** Semicarbazide Hydrochloride, Aromatic aldehydes, Schiff bases, Cycloaddition, Phthalic anhydride, Oxazepinediones.

### 1. INTRODUCTION

Most of the potent and biologically active medicinal agents contain heterocyclic ring with nitrogen and oxygen as the special element. The present work involves the synthesis of eight semicarbazones and eight 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione from the above semicarbazone. The chemical structures of the oxazepinedione derivatives were studied. The newly synthesized compounds 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione contains oxazepine as the core nucleus, which is a seven membered heterocyclic compound which contain oxygen and nitrogen as the hetero atom in 1st and 3rd position, were two ketone moiety attached to the 4th and 7th position of the ring and a benzene ring is fused with 6<sup>th</sup> and 7<sup>th</sup> position. Compare to the other oxazepine derivatives (e.g. dibenzoxazepines, benzoxazepines etc.). The method for the synthesis of 1,3 - Oxazepine 4, 7 - Dione is limited. One of the recently used methods is cyclo addition reaction<sup>[1, 2]</sup>. It is a type of pericyclic reaction. The method <sup>[1]</sup> used for the synthesis in this work is pericyclic cyclo addition, which is classified as a 5+2 = 7, which implying

five-atom component plus two-atom component leading to seven-membered heterocyclic ring. Here the five atom involved in the synthesis of oxazepinedione derivative component is the anhydride nucleus of phthalic anhydride and the two atom component is C=N of schiff base or imine. The mechanism involves the addition of one  $\sigma$ - carbonyl to  $\pi$ -bond (N=C) to give 4- membered cyclic and 5-membered cyclic ring of anhydride in the same transition state, which opens into various anhydride (E.g.: phthalic anhydride) to a give 7-membered cyclic ring 1, 3-oxazepine 4, 7 dione.

The intermediate (schiff base) used in this reaction is semicarbazone which is synthesized by the usual condensation reaction in which an aromatic aldehydes with a primary amine (semicarbazide) forms an imine in the presence of mild acid. Mechanism involve nucleophilic Addition to the carbonyl group and elimination of a water molecule so, too, reaction of an aldehyde or ketone with a reagent having the general structure  $\text{NH}_2 - \text{Z}$  (where Z contains an O or N atom bonded to the  $-\text{NH}_2$  group) forms an imine derivative. The overall reaction results in

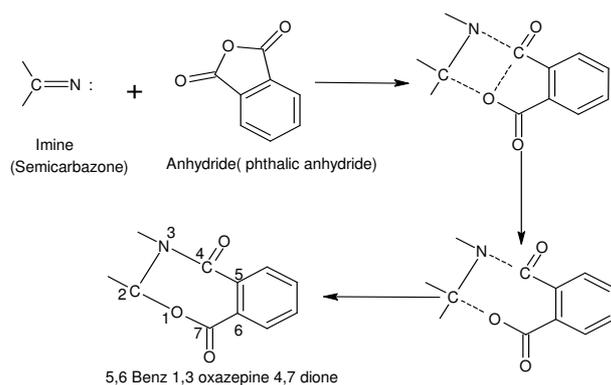
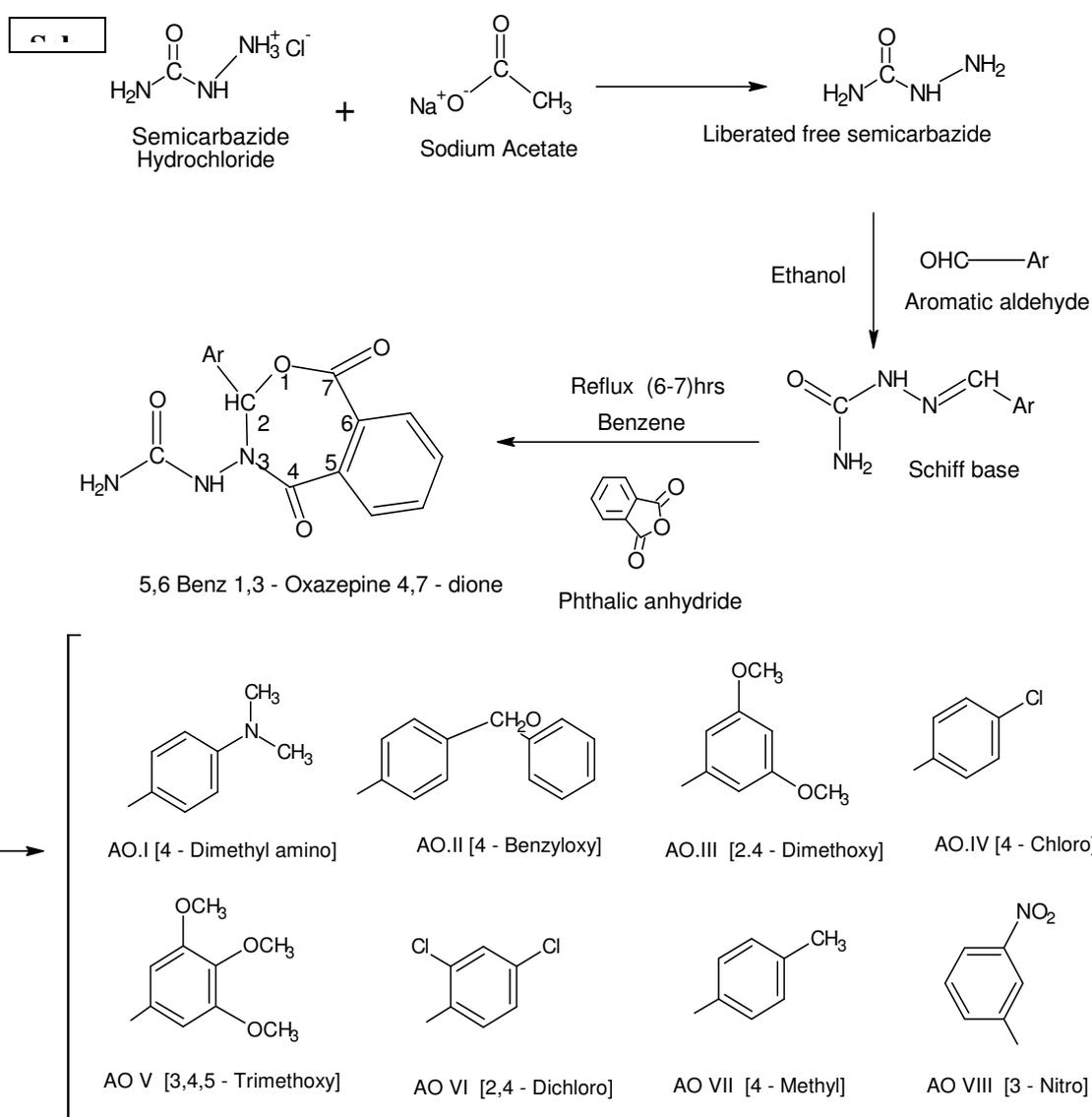


Figure - 1: Mechanism



Aldehydes used [Ar - CHO]

Scheme -1: Synthesis of Oxazepinedione from semicarbazide hydrochloride is as follows.

replacement of C = O by C = N. Schiff-base compounds have been used as fine chemicals and medical substrates. Compared to other derivatives of oxazepine much less studies are so far conducted for oxazepinediones. It includes antimicrobial studies [3], antitumor activity [4], anticorrosive studies [5] and anticonvulsant studies [6].

## 2. MATERIALS AND METHODS

- The reagents that were used for the synthesis of oxazepinedione derivatives were laboratory grade and those were obtained from Spectrum, Otto and Chemco.
- Characterization of prepared compounds by molecular formula, molecular weight, colour, physical state, percentage yield and solubility profiles with certain solvents like alcohol, acetone, ethyl acetate, CHCl<sub>3</sub>, 0.1N NaOH, and 0.1N HCl.
- Analytical chromatography was performed by TLC plate with Silica Gel 60 F254 as stationary phase which is a product of Merck KGaA, Germany and mobile phase used was Ethyl acetate: Methanol : Strong Ammonia (85:10:5) and detection is done with UV- detector.
- Melting point was recorded by using melting point apparatus (Veego, model no: VMP -D).
- Prediction of physicochemical properties like surface tension, density, index of refraction, molar volume, polarizability using ACD/Chemsketch Software
- Spectral characterizations were done using IR spectra, obtained from Shimadzu FT-IR Affinity, using KBr discs. <sup>1</sup>H NMR spectra with CDCl<sub>3</sub> as solvent and TMS as standard and elementary analysis were done at SAIF, STIC, Cochin

### 2.1. General synthesis

#### 2.1.1. General procedure for synthesis of schiff base {Semicarbazone}

Dissolve 0.02M of semicarbazide hydrochloride and 2g of crystallized sodium acetate in 5ml of water in a conical flask, then add 0.02M of aromatic aldehyde (Ar- CHO) and shake well to obtain a turbid mixture. Add alcohol (acetone free) until a clear solution is obtained; shake the mixture for a few minutes and allow to stand. The semicarbazone crystallizes from the cold solution on stand. Filter off the crystals, wash with a little cold water and recrystallized from ethanol. [7,8,14]

#### 2.1.2. General procedure for synthesis of 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione

Accurately weighed about an equimolar quantity, i.e. 0.01M of synthesized semicarbazone (schiffbase) in the above step and 0.01M of phthalic anhydride into a round bottom flask. Add 25ml of benzene as solvent and then reflux the reaction mixture for 6 - 7hrs in a water bath. Cool the reaction mixture in an ice bath for several hours. Filter off the precipitated product and then recrystallized it from ethanol. [11-13]

## 3. RESULTS

Eight number of 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione were synthesized by cyclo addition by refluxing semicarbazones and phthalic anhydride for 7hrs. The imine group converted to oxazepine ring. Physico chemical properties like molecular formula, molecular weight, physical state, colour, melting point, percentage yield and R<sub>f</sub> value of the synthesized compounds are given in the table 1. ACD/Chemsketch Software is used for drawing the chemical structures also used for the prediction of various physicochemical properties like surface tension, density, index of refraction, molar volume, Polarizability, which are given in the table no 2. FT-IR spectrum helps to confirm the presence of various functional group, which are given the below as figure no 2, 4, 6, 8, 12, 14, 16, 18. <sup>1</sup>H-NMR help to identify the oxazepine ring with the presence of various protons and the spectrum is given the figure no: 2, 4, 6, 8, 10, 12, 14, 16 elemental analysis data were also used to confirm the chemical structure of synthesized 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione.

### 3.1. Spectral data

#### 3.1.1. 2-p (-N-dimethyl phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1, 3]-oxazepine -4,7 dione (AO.I)

IR (KBr, cm<sup>-1</sup>) 1574.91, 1544.08 {Ar C=C}, 3030 {Ar C-H}, 1748.55 {C=O}, 3477.80 {N-H of amide}, 1410.99 {C-N of amide}, 1680 {C=O of amide}, 1206.53 {C-N Ar-NH 2}, 1374.34 {Sym C-H bending of CH<sub>3</sub>}. <sup>1</sup>H NMR (δ, ppm) 2.981 {s, 6H, (N(CH<sub>3</sub>)<sub>2</sub>), 10 {s, 1H, NH}, 7.752 {s, 1H, of Oxazepine ring, O-CH-N}, 7.575 - 7.678 {m, 8H, Ar H}, 2.5 {s, 2H of amide}. CHN ANALYSIS (Cal/Ana %) C {61.01/50.78}, H {5.12/4.5}, N {15.81/8.44}. [15]

#### 3.1.2. 2-p (-Benzyloxy phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1,3]-oxazepine -4, 7 dione (AO.II)

IR (KBr, cm<sup>-1</sup>) 1598.09 {Ar C=C}, 3064.06 {Ar C-H}, 2910.11 {Aliphatic C-H}, 1670.43 {C=O}, 1248.96 {C-O} 3472.02 {N-H of amide}, 1451.50 {C-N of amide}, 1649.21 {C=O of amide}, 1173.73 {C-O-C of ether}. <sup>1</sup>H NMR (δ, ppm) 10.095 {s, 1H, NH} 7.788 {s, 1H, of Oxazepine ring, O-CH-N}, 6.416 - 7.662 {13H,

Ar-H}, 2.5 {s, 2H of amide}, 5.135{s, 2H of CH<sub>2</sub>}. CHN ANALYSIS (Cal/Ana%) C{66.18/63.33}, H{4.59/5.77}, N {10.07/15.79}.

**3.1.3. 2- (2, 4- Dimethoxy phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1,3]-oxazepine -4, 7 dione (AO.III)**

IR (KBr, cm-1) 1604.84 {Ar C=C}, 3027.12{Ar C-H}, 2834.52{Aliphatic CH}, 1695.50{C=O}, 1265.36{C-O} 3468.16{N-H of amide}, 1421.60{C-N of amide}, 1695.50{C=O of amide}, 1133.23, 1026.17{C-O-C of ether}, :: <sup>1</sup>HNMR (δ, ppm) 10.096 {s, 1H, NH} 7.764 {s, 1H, of Oxazepine ring, O-CH-N}, 6.491 – 7.428 {7H, Ar-H}, 2.5 {s, 2H of amide}, 3.812{s, 3H of OCH<sub>3</sub>}, 3.775{s, 3H of OCH<sub>3</sub>}. CHN ANALYSIS (Cal/Ana %) C{58.22/55.48}, H{4.61/6.84}, N {11.32/17.48}.

**3.1.4. 2-p (-Chloro phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1, 3] -oxazepine -4, 7 Dione (AO.IV)**

IR (KBr, cm-1) 1597.13{Ar C=C}, 3087.20{Ar C-H}, 2996.54{Aliphatic C-H}, 1669.46{C=O}, 1300.08 {C-O} 3464.30{N-H of amide}, 1404.24{C-N of amide}, 1693.157{C=O of amide}, 1090.79{Ar-Cl}. <sup>1</sup>HNMR (δ, ppm) 10.302{s, 1H, NH} 7.826 {s, 1H, of Oxazepine ring, O-CH-N}, 6.524 – 7.767 {8H, Ar-H}, 2.5 {s, 2H of amide}. CHN ANALYSIS (Cal/Ana%) C{58.43/50.37}, H{3.47/4.7}, N{14.61/20.18}.

**3.1.5. 2- (3, 4, 5 Trimethoxy phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1,3]-oxazepine -4, 7 dione (AO.V)**

IR (KBr, cm-1) 1576.87{Ar C=C}, 3020{Ar C-H}, 2980.15{Aliphatic CH}, 1682.96{C=O}, 1236.42{C-O} 3510.13{N-H of amide}, 1413.38 {C-N of amide}, 1680.07{C=O of amide}, 1124.55{C-O-C of ether}. <sup>1</sup>HNMR (δ, ppm) 10.224 {s, 1H, NH}, 2.5{s, 2H of amide}, 7.750 {s, 1H, of Oxazepine ring, O-CH-N}, 6.541, 7.014{6H, Aromatic Hydrogen}, 3.818{s, 6H of OCH<sub>3</sub>}, 3.674{s, 3H of OCH<sub>3</sub>}. CHN ANALYSIS (Cal/Ana %) C{61.34/52.71}, H{4.79/6.49}, N {13.42/15.17}.

**3.1.6. 2- (2, 4- Dichloro phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1,3]-oxazepine -4, 7 dione (AO.VI)**

IR (KBr, cm-1) 1595.20{Ar C=C}, 3074.66{Ar C-H}, 2923.25{Aliphatic C-H}, 1728.29{C=O}, 1218.10{C-O} 3470.09{N-H of amide}, 1417.74{C-N of amide}, 1658.85{C=O of amide}, 1051.25{Ar-Cl}. <sup>1</sup>HNMR (δ, ppm) 10.535 {s, 1H, NH}, 2.5 {s, 2H of amide}, 8.182 {s, 1H, of Oxazepine ring, O-CH-N}, 6.625-8.248{7H, Ar-H}. CHN ANALYSIS (Cal/Ana%) C{50.55/47.00}, H{2.92/4.85}, N{11.05/15.17}.

**3.1.7. 2-p (Toluy)l)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1,3]- oxazepine -4, 7 dione (AO.VII)**

IR (KBr, cm-1) 1588{Ar C=C}, 3151.82{Ar C-H}, 3040 Aliphatic C-H}, 1670{C=O}, 1228.71{C-O} 3466.23{N-H of amide}, 1431.24{C-N of amide}, 1649.21{C=O of amide}, 2986.12{C-H stretch of CH<sub>3</sub>}, 1355{C-H bend of CH<sub>3</sub>}. <sup>1</sup>HNMR (δ, ppm) 10.150 {s, 1H, NH}, 2.5 {s, 2H of amide}, 7.805 {s, 1H, of Oxazepine ring, O-CH-N}, 6.430-7.605{8H, Ar - H}, 2.314{s, 3H of CH<sub>3</sub>}. CHN ANALYSIS (Cal/Ana %) C{56.86/60.51}, H{4.77/7.10}, N{10.47/23.66}.

**3.1.2. 2- (3- Nitro phenyl)-3-(semicarbazone)-2, 3-dihydro-5, 6 Benz [1,3]- oxazepine -4, 7 dione (AO.VIII)**

IR (KBr, cm-1) 1556.62{Ar C=C}, 3033.19{Ar C-H}, 2821.01{Aliphatic C-H}, 1672.36, 1682.00{C=O}, 1250.59{C-O} 3458.16{N-H of amide}, 1400.13{C-N of amide}, 1667.53{C=O of amide}, 1506.47{N=O stretch of NO<sub>2</sub>} 851.61{C-N Ar stretch of NO<sub>2</sub>}. <sup>1</sup>HNMR (δ, ppm) 10.601 {s, 1H, NH}, 2.5 {s, 2H of amide}, 7.936 {s, 1H, of Oxazepine ring, O-CH-N}, 6.680-8.229{8H, Ar H}. CHN ANALYSIS (Cal/Ana %) C{53.94/48.99}, H{3.39/3.87}, N{15.72/19.36}.

**4. DISCUSSIONS**

All the synthesized 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione derivatives are solid in nature. Out of the eight derivative AO I have dark brown colour due to dimethyl amino group, AO.III(2,4-Dimethoxy) and AO VIII(3 - nitro) derivatives having yellow color and all other having off white to pure white color. In case of physical state, AO IV, AO V, AO VII contains 4 - chloro, 3,4,5 - Trimethoxy and 4 - Methyl groups respectively have crystalline nature while others AO I (dimethyl amino), AO II(4 - Benzyloxy), AO.III (2,4-Dimethoxy) AO VI(2,4 - Dichloro), AO VIII (3 - nitro) derivatives are amorphous in nature. While evaluating the solubility profile all the synthesized compounds are soluble in ethanol and acetone. Among the compounds 2-(2, 4-Dimethoxy phenyl)-3-(Semicarbazone)-2, 3-dihydro-5, 6 Benz [1, 3]-Oxazepine-4, 7-Dione (AO III) having highest percentage yield and lowest percentage yield was given by 2-(p-nitro phenyl)-3-(Semicarbazone)-2, 3-dihydro-5, 6 Benz [1, 3]-Oxazepine-4, 7-Dione. (AO VIII). Product formed was confirmed by variation in the R<sub>f</sub> value given by the intermediate imine and product 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione.

IR spectra [2,12] gives valuable information regarding the presence of oxazepine ring. FT-IR spectrum of compound schiff base showed appearance of a strong absorption bands

**Table - 1: Physicochemical properties of synthesized 5, 6 - Benz 1, 3 - Oxazepine 4, 7 - Dione derivatives (AO.1-VII)**

Sample code	Molecular Formula	Mole weight	Physical state	Color	Melting point	Yield (% w/w)
AO.I	C <sub>18</sub> H <sub>18</sub> N <sub>4</sub> O <sub>4</sub>	354.35	Amorphous	Reddish Brown	187°C	76.6
AO.II	C <sub>23</sub> H <sub>19</sub> N <sub>3</sub> O <sub>5</sub>	417.41	Amorphous	Off White	196°C	79.3
AO.III	C <sub>18</sub> H <sub>17</sub> N <sub>3</sub> O <sub>6</sub>	371.34	Amorphous	Yellow	161°C	90.2
AO.IV	C <sub>16</sub> H <sub>12</sub> N <sub>3</sub> O <sub>4</sub> Cl	345.73	Crystalline	Pure White	165°C	81.6
AO.V	C <sub>19</sub> H <sub>19</sub> N <sub>3</sub> O <sub>7</sub>	401.37	Crystalline	Off White	191°C	77.7
AO.VI	C <sub>16</sub> H <sub>11</sub> N <sub>3</sub> O <sub>4</sub> Cl <sub>2</sub>	380.18	Amorphous	White	234°C	83.3
AO.VII	C <sub>17</sub> H <sub>15</sub> N <sub>3</sub> O <sub>4</sub>	325.31	Crystalline	Pure White	175°C	77.01
AO.VIII	C <sub>16</sub> H <sub>12</sub> N <sub>4</sub> O <sub>6</sub>	356.28	Amorphous	Light Yellow	185°C	63.4

**Table - 2: Chromatographic analysis by TLC and R<sub>f</sub> value determination**

Sample Code	R <sub>f</sub> Value (retention factor)
AO.I	0.46
AO.II	0.42
AO.III	0.69
AO.IV	0.80
AO.V	0.83
AO.VI	0.77
AO.VII	0.83
AO.VIII	0.94

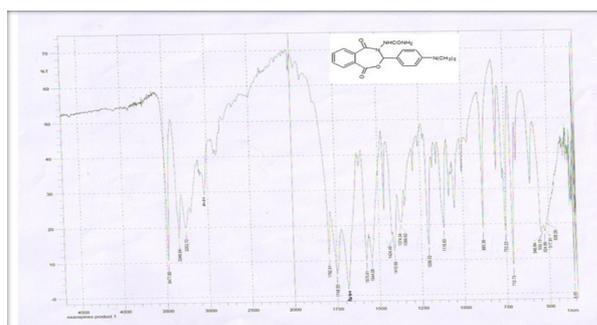
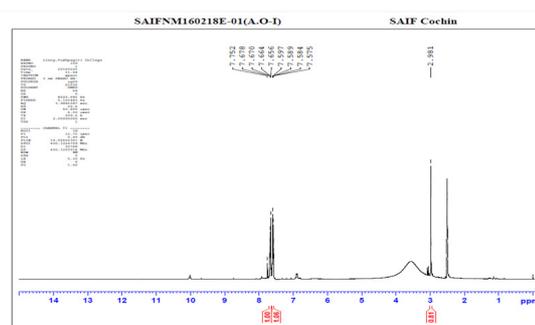
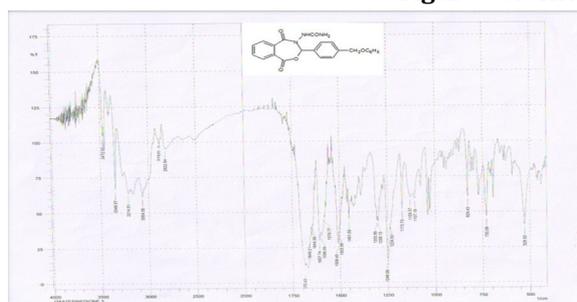
**Figure - 2: IR spectrum of AO I.****Figure - 3: <sup>1</sup>H NMR spectrum of AO I.****Figure - 4: IR spectrum of AO II.**

Table - 3: Physico chemical properties predicted using ACD/Chemsketch software

Sample Code	Density [g/cm <sup>3</sup> ]	Surface Tension [dyne/cm]	Index of Refraction	Parachor[cm <sup>3</sup> ]	Molar Volume[cm <sup>3</sup> ]	Polarizability[cm <sup>3</sup> ]
AO.I	1.41 ± 0.1	71.9 ± 5.0	1.676 ± 0.03	729.2 ± 6.0	250.4 ± 5.0	37.38 ± 0.5 10 <sup>-24</sup>
AO.II	1.42 ± 0.1	73.5 ± 5.0	1.692 ± 0.03	857.0 ± 6.0	292.6 ± 5.0	44.49 ± 0.5 10 <sup>-24</sup>
AO.III	1.45 ± 0.1	70.8 ± 5.0	1.655 ± 0.03	741.8 ± 6.0	255.7 ± 5.0	37.22 ± 0.5 10 <sup>-24</sup>
AO.IV	1.55 ± 0.1	77.5 ± 5.0	1.697 ± 0.03	661.7 ± 6.0	223.0 ± 5.0	34.08 ± 0.5 10 <sup>-24</sup>
AO.V	1.44 ± 0.1	69.3 ± 5.0	1.642 ± 0.03	800.4 ± 6.0	277.4 ± 5.0	39.74 ± 0.5 10 <sup>-24</sup>
AO.VI	1.62 ± 0.1	79.7 ± 5.0	1.704 ± 0.03	698.8 ± 6.0	233.8 ± 5.0	36.00 ± 0.5 10 <sup>-24</sup>
AO.VII	1.42 ± 0.1	71.5 ± 5.0	1.676 ± 0.03	662.8 ± 6.0	227.8 ± 5.0	34.00 ± 0.5 10 <sup>-24</sup>
AO.VIII	1.59 ± 0.1	86.8 ± 5.0	1.709 ± 0.03	681.6 ± 6.0	223.3 ± 5.0	34.56 ± 0.5 10 <sup>-24</sup>

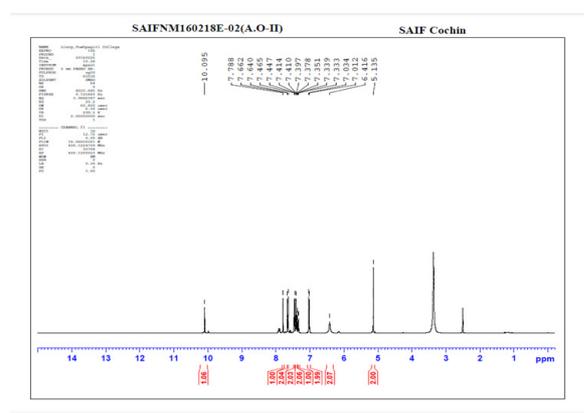


Figure - 5: <sup>1</sup>HNMR spectrum of AO II

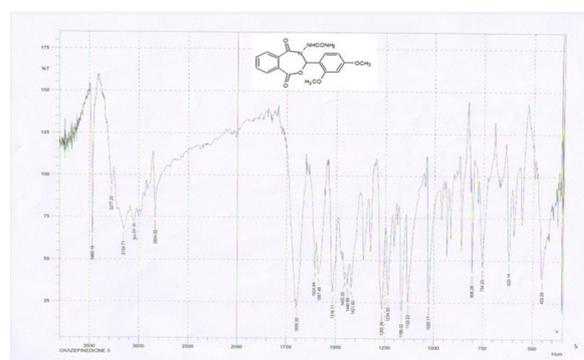


Figure - 6: IR spectrum of AO III.

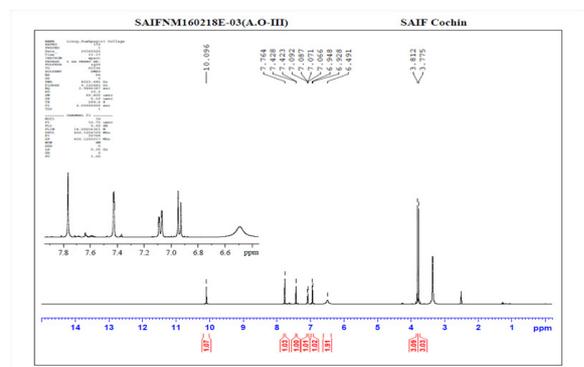


Figure - 7: <sup>1</sup>HNMR spectrum of AO III.

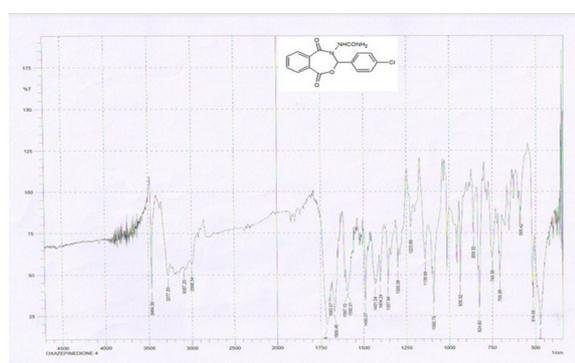


Figure - 8: IR spectrum of AO IV

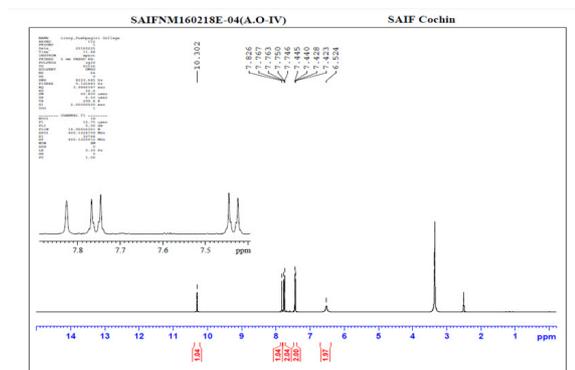


Figure - 9: <sup>1</sup>HNMR spectrum of AO IV.

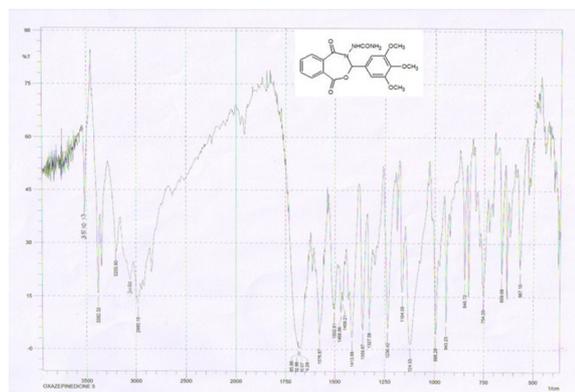


Figure - 10: IR spectrum of AO V.



state etc. Chromatographical analysis, TLC was performed and  $R_f$  value was determined. Solubility profile with various solvent was also determined. Chemical structure of 5, 6 – Benz 1, 3 – Oxazepine 4, 7 – Dione was determined by IR and  $^1\text{H}$ NMR spectral data and CHNS analysis. All these confirmed the structure of the synthesized compounds.

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